Docket No.: \_300.1003 Date: March 6, 2001

## **FORM PTO-1083**

ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

In re application of: Chih-Ming CHEN, et al.

Serial No.: 09/435,576 Filed: November 8, 1999

For: HMG-COA REDUCTASE INHIBITOR EXTENDED RELEASE FORMULATION

Sir:

Transmitted herewith is a Response Under 37 C.F.R. §1.111 in the above-identified application

Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established. Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27. []

No fee for additional claims is required. [X]

A filing fee for additional claims calculated as shown below, is required:

| (Col. 1) (Col. 2)                              | SMALL_ENTITY                                  |
|--|---|
| FOR:   REMAINING   HIGHEST                     | RATE   FEE                                    |
| AFTER   PREVIOUSLY   PRESENT                   |   |
| AMENDMENT   PAID FOR   EXTRA                   |   |
| TOTAL CLAIMS   * Minus** =   0                 | <u> x                                    </u> |
| INDEP. CLAIMS * Minus*** =   0                 | x \$ 40 \$                                    |
| [ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM] | + \$135 \$                                    |
|  |   |

| RATE   FEE   | <u>0R</u> | RATE   FEE                |
|--------------|-----------|---------------------------|
| x \$ 9 \$    |           | x \$ 18 \$                |
| x \$ 40   \$ |           | x \$ 80 \$<br> + \$270 \$ |

LARGE ENTITY

TOTAL: .\$ TOTAL: \$

- If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
- [X] Also transmitted herewith are:
  - [ ] Petition for extension under 37 C.F.R. 1.136
  - [X] Other:
- -Terminal Disclaimer
- -Submission of Formal Drawings & Ten (10) Pages of Formal Drawings
- -Information Disclosure Statement, Form PTO-1449, & Copies of References
- [] Check(s) in the amount of \$180.00 & \$110.00 are attached to cover:
  - ] Filing fee for additional claims under 37 C.F.R. 1.16
  - [ ] Petition fee for extension under 37 C.F.R. 1.136
  - [X] Other:
- -Terminal Disclaimer
- -Information Disclosure Statement
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this [X] communication or credit any overpayment to Deposit Account No. 50-0552.
  - Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by [X] check submitted herewith.
  - Any patent application processing fees under 37 C.F.R. 1.17.
  - Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR

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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on March 6, 2001.

Robert J. Paradiso, Reg. No. 41,240 DAVIDSON, DAVIDSON & KAPPEL, LLC